

# PHYS 414: 4-20-20

ensemble of quantum sys

fraction  $p_n$  of state  $|\psi_n\rangle$   $\Rightarrow \hat{\rho} = \sum_n p_n |\psi_n\rangle \langle \psi_n|$   
for  $n=1, \dots$

i)  $\hat{\rho}^\dagger = \hat{\rho}$    ii)  $\text{tr}(\hat{\rho}) = 1$

iii) any observ. w/ oper  $\hat{O}$

$$\langle O \rangle = \text{tr}(\hat{\rho} \hat{O})$$

•  $\hat{\rho} = \sum_n p_n |\psi_n\rangle \langle \psi_n|$    complete basis:  $\{|m\rangle\}$

$$= \sum_{m,n} \rho_{mn} |m\rangle \langle n| \quad \rho_{mn} = \langle m | \hat{\rho} | n \rangle$$

matrix repr. of  $\hat{\rho}$ :

$$\begin{pmatrix} \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot \end{pmatrix}$$

$\rho_{mn}$

iv) diag. elements of  $\hat{\rho}$  in any basis are always  $\geq 0$   
(positive semi-definite matrix)

$$\begin{aligned} \rho_{mm} = \langle m | \hat{\rho} | m \rangle &= \sum_n p_n \langle m | \psi_n \rangle \langle \psi_n | m \rangle \\ &= \sum_n \underbrace{p_n}_{\geq 0} \underbrace{|\langle m | \psi_n \rangle|^2}_{\geq 0} \geq 0 \end{aligned}$$

↑  
"population"  
of basis  
state  $m$

$$\text{tr}(\hat{\rho}) = 1 \Rightarrow \sum_m \rho_{mm} = 1$$

Imagine that we have Hamilt.  $\hat{H}$   
 +  $\{|i\rangle\}$  is the basis of e-states of  $\hat{H}$ :

$$\hat{H} |i\rangle = E_i |i\rangle$$

$$\begin{aligned} \langle H \rangle &= \text{tr}(\hat{\rho} \hat{H}) \\ &= \sum_i \langle i | \hat{\rho} \hat{H} | i \rangle \\ &= \sum_i E_i \langle i | \hat{\rho} | i \rangle \end{aligned}$$

$$\langle H \rangle = \sum_i E_i p_{ii}$$

$p_{ii}$  = prob. of actually  
measuring  $E_i$  in  
our ensemble

populations  $\Leftrightarrow$  probs of  
getting certain  
outcomes upon  
measuring ensem.



$$\bar{E} = \sum_n p_n E_n$$

nice analogy  
b/t classical + quantum  
... but it gets complicated

classical state  $n$ :

$E_n, X_n, \dots$  definite  
characteristics that can be  
measured simultaneously

$\Rightarrow$  hence descr. by one prob.  $p_n$

classical entropy:  $S = -k_B \sum_n p_n \ln p_n$

How do we generalize to quantum?

Another complication:

Usually there are many (infinite) ensembles which give you the same  $\hat{\rho}$ :

An experimentalist can prepare the ensemble completely differently + still end up w/ ensembles which are completely indisting. w/ respect to measurements, i.e.  $\text{tr}(\hat{\rho}\hat{O})$  will be the same for any  $\hat{O}$  on both ensembles

example: ensemble 1:

<u>frac</u>	<u>state</u>
p	$ 0\rangle$
1-p	$ 1\rangle$

$$\hat{\rho} = p |0\rangle\langle 0| + (1-p) |1\rangle\langle 1|$$

ensemble 2:

<u>frac</u>	<u>state</u>	
$\frac{1}{2}$	$ u\rangle$	$ u\rangle \equiv \sqrt{p}  0\rangle + \sqrt{1-p}  1\rangle$
$\frac{1}{2}$	$ v\rangle$	$ v\rangle \equiv \sqrt{p}  0\rangle - \sqrt{1-p}  1\rangle$

$\Rightarrow$  end up w/ exactly same  $\hat{\rho}$ :

$$\begin{aligned} \hat{\rho} &= \frac{1}{2} |u\rangle\langle u| + \frac{1}{2} |v\rangle\langle v| \quad \leftarrow \text{plug in def'ns of } |u\rangle + |v\rangle \\ &= p |0\rangle\langle 0| + (1-p) |1\rangle\langle 1| \end{aligned}$$

If  $\hat{\rho}$  is not a pure ensemble, there are usually an infinite # of ways to prepare it: called different decompositions of  $\hat{\rho}$

However every  $\hat{\rho}$  has one special decomposition called the orthonormal decomposition:

since  $\hat{\rho}$  is Hermitian  $\Rightarrow$  complete basis  $|\phi_n\rangle$  of e-vecs of  $\hat{\rho}$

$$\hat{\rho} |\phi_n\rangle = p_n |\phi_n\rangle \quad \langle \phi_n | \phi_m \rangle = \delta_{nm}$$

↳ e-vals of  $\hat{\rho}$

⇒  $\hat{\rho}$  is diag. in that basis, +

hence  $\hat{\rho} = \sum_n p_n |\phi_n\rangle \langle \phi_n|$  ON  
decomp.

b/c  $\{|\phi_n\rangle\}$  are ON ⇒ they are "distinguishable"

⇒ if we do measurement + find the sys in state  $|\phi_n\rangle$  we can be sure that we were not in another state  $|\phi_m\rangle$ ,  $m \neq n$ , before the measurement

⇒ von Neumann used this nice feature to argue that quantum entropy should be defined w/ respect to ON decomp.

### quantum (von Neumann) entropy

$$S(\hat{\rho}) = - \sum_n p_n \ln p_n \quad \text{where } \{p_n\} \text{ are e-vals of } \hat{\rho}$$

↑  
trad. w/o  
K<sub>B</sub> factor

[ON decomp.]

$$\equiv -\text{tr}(\hat{\rho} \ln \hat{\rho})$$

In prev. example:  $\{|0\rangle, |1\rangle\}$  is the ON basis

$$\Rightarrow S(\hat{\rho}) = -p \ln p - (1-p) \ln (1-p)$$

How does  $S(\hat{\rho})$  change in time?  $\rightsquigarrow$  2nd law?

⇒ How does  $\hat{\rho}$  change in time?

$t=0$ : prepare our sys. in  
ON decomp

$$\hat{\rho}(0) = \sum_n P_n |\phi_n(0)\rangle \langle \phi_n(0)|$$

where

$$\langle \phi_n(0) | \phi_m(0) \rangle = \delta_{nm}$$

isolated sys (no inter. w/ rest of universe) described  
by Hamiltonian  $\hat{H}(t)$

time evol. of  $|\psi\rangle$  described by:

$$i\hbar \frac{\partial}{\partial t} |\psi(t)\rangle = \hat{H}(t) |\psi(t)\rangle$$

sol'n always of form:  $|\psi(t)\rangle = \hat{U}(t) |\psi(0)\rangle$

where  $\hat{U}$  is a unitary oper.

$$\hat{U}^\dagger(t) \hat{U}(t) = \hat{1} = \hat{U}(t) \hat{U}^\dagger(t)$$

special case where  $\hat{H}(t) = \hat{H}$

$$\Rightarrow \hat{U}(t) = e^{-i\hat{H}t/\hbar}$$

in an ensemble, every state will evolve w/  
unitary operator:

$\frac{\text{frac}}{P_n}$	$\xrightarrow{\text{t=0}}$	$\xrightarrow{\text{later time}}$	$\frac{\text{frac}}{P_n}$
$ \phi_n(0)\rangle$			$\hat{U}(t)  \phi_n(0)\rangle$ $=  \phi_n(t)\rangle$

later time

$$\hat{\rho}(t) = \sum_n P_n |\phi_n(t)\rangle \langle \phi_n(t)|$$

$$= \sum_n P_n \hat{U}(t) |\phi_n(0)\rangle \langle \phi_n(0)| \hat{U}^\dagger(t)$$

$$= \hat{U}(t) \hat{\rho}(0) \hat{U}^\dagger(t)$$

claim:  $\hat{\rho}(t) = \sum_n p_n |\phi_n(t)\rangle\langle\phi_n(t)|$

is the ON decomp. of  $\hat{\rho}(t)$

b/c  $\langle\phi_n(t)|\phi_m(t)\rangle$

$$= \langle\phi_n(0)|\underbrace{\hat{U}^\dagger(t)\hat{U}(t)}_{\hat{I}}|\phi_m(0)\rangle$$

$$= \langle\phi_n(0)|\phi_m(0)\rangle = \delta_{nm}$$

prob. in ON decomp  $\{p_n\}$  have stayed exactly the same for time 0 and  $t$

$$S(\hat{\rho}(t)) = -\sum_n p_n \log p_n = S(\hat{\rho}(0))$$

quantum entropy for an isolated sys always stays the same!